



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

:

n re application of:

J. Albornoz, et al.

Date: February 22, 2006

Group Art Unit:

2171

**IBM** Corporation

Examiner:

Unassigned

Intellectual Property Law

Serial No.:

10/803,619

Dept. 917, Bldg. 006-1

Filed:

March 18, 2004

3605 Highway 52 North

Title: METHOD AND SYSTEM FOR

Rochester, MN 55901

CREATION AND RETRIEVAL OF

**GLOBAL ANNOTATIONS** 

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

February 22, 2006

(Date of Deposit)

Lisa M. Plank

## INFORMATION DISCLOSURE STATEMENT

Applicants request that the information listed on the attached Form PTO/SB/08A be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97. In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with

**PATENT** 

37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The references listed herein were cited in a search report by a foreign patent office, a copy of which is attached hereto. If any references listed herein are in a language other than English, said foreign search report is submitted in satisfaction of the requirements of 37 C.F.R. 1.98(a)(3). Unless a translation accompanies this Statement, no written English-language translation of any non-English language document, or portion thereof, listed herein, is within the possession custody or control of, or is readily available to any individual designated in 37 C.F.R. 1.56(c).

This Information Disclosure Statement is being filed under 37 C.F.R. 1.97(c) before a final action or notice of allowance. No filing fee is required because each item of information contained herein was cited for the first time in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement (certification provided below).

Respectfully submitted,

Grant A. Johnson

Attorney Reg. No. 42,696 Telephone: 1-507-253-4600

Fax: 1-507-253-2382

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#### **CERTIFICATION**

I hereby certify that each item of information contained herein was cited for the first time in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.

Grant A. Johnson

February 22, 2006

Date

PTO/SB/08A

FFB 2 4 2006

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 1 of 2

Application Number.:

Filing Date:

10/803,619 March 18, 2004

First Named Inventor:

Albornoz

Art Unit: Examiner Name:

2171 Unassigned

Attorney Docket Number.:

ROC920030418US1

#### **U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
		US - 5,559,942	09-24-1996	Gough et al.	
		US -			
		US -			
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### FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.1	Foreign Patent Document  Country <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	Τ <sup>6</sup>
		EP 0902379 A2	03-17-1999	Schilit, et al.		_
		EP 1174801 A2	01-23-2002	Keely, et al.		

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard

PTO/SB/08A

INFORMATION DES STATEMENT BY APPE

Application	Number.:
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Filing Date:

10/803,619 March 18, 2004

First Named Inventor:

Albornoz

Art Unit:

2171

**Examiner Name:** Sheet 2 of 2 Attorney Docket Number.: **Unassigned** ROC920030418US1

# OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and or country where published.	T <sup>2</sup>
		KAHAN, et al, "Annotea: An Open RDF Infrastructure for Shared Web Annotations", Computer Networks 39 (2002), pp. 589-608	
		BARGERON, et al, "Robustly Anchoring Annotations using Keywords", November 16, 2001, retrieved from http://research.microsoft.com/research/pubs/view.aspx?type=Technical%20Report&id=522 >	
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Examiner Signature	Date Considered	
L'Adminici Signature	Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard

ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.